



PATENT
Attorney Docket No. 3330.2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David A. Balaban

Examiner: Unknown

Serial No: 09/697,877

Group Art Unit: 1645

Filing Date: October 26, 2000

Title: **METHODS FOR MONITORING
THE EXPRESSION OF ALTERNATIVELY
SPLICED GENES**

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Commissioner for Patents
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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(b)

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are necessarily prior art with respect to the instant invention; (2) a representation that a search has been made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Dated: March 26, 2001

Respectfully submitted,


Wei Zhou
Reg. No. 44,419

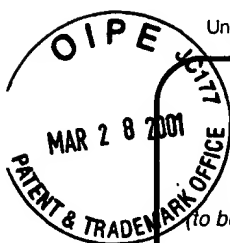
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FORM**

(to be used for all correspondence after initial filing)

Application Number	09/697,877		
	Filing Date	October 26, 2000	
	First Named Inventor	David A. Balaban	
	Group Art Unit	1645	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	3	Attorney Docket Number	3330.2

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): PTO Form 1449 citing 2 references
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Firm or Individual name	Wei Zhou, Reg. No. 44,419
Signature	
Date	March 26, 2001

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U.S. Department of Commerce, Patent and Trademark Office					Atty Docket No.		Serial No.	
					3330.2		09/697,787	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant:			
(Use several sheets if necessary)					David A. Balaban			
					Filing Date		Group	
					October 26, 2000		1645	
U.S. Patent Documents								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	AA	5,800,992	09/01/98	Fodor et al.	435	6		
	AB	6,040,138	03/21/00	Lockhart et al.	435	6		
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
	AJ							
	AK							
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	AL							
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	AO							
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ							
	AR							
	AS							
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